

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1

of 2

**Complete if Known**

Application Number	New U.S. Application
--------------------	----------------------

Filing Date	March 23, 2004
-------------	----------------

First Named Inventor	Misty AZARA et al.
----------------------	--------------------

### Art Unit

**Examiner Name**

Attorney Docket Number	FX/A3007Q1-317004
------------------------	-------------------

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	NEW U.S. Application
Filing Date	March 23, 2004
First Named Inventor	Misty AZARA et al.
Art Unit	
Examiner Name	
Attorney Docket Number	FX/A3007Q1-317004

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Black A.; Taylor, P.: CHATR: a generic speech synthesis system" in Proceedings of COLING74, II p. 83-986, Kyoto, 1994	
		Haller, S. Fossum, T.: "The Association Between Subject Matter and Discourse Segmentation", In The Proceedings of the Florida AI Research Symposium, Key West, FL, May 2001.	
		Long, S. ; Kooper, R.;Abowd, G.; Atkeson, C., "Rapid Prototyping of Mobile Context-Aware Applications: the CyberGuide Case Study", in the Proceedings of the 2nd ACM International Conference on Mobile Computing and Networking (MobiCom'96), pp. 97-107, November 10-12, 1996.	
		Shriberg, E.; Stolke, A.; Hakkani-Tur, Dilek; Tur, Gokhan, "Prosody-Based Automatic Segmentation of Speech Utterances into Sentences and Topics" in Speech Communication, 2000, 32, 1-2, Sept, pp. 127-154.	
		Stolcke, A.; Schriberg, E.; Bates, R.; Coccaro N.; Jurafsky, D.; Martin, R.; Meteer, M.; Ries, K.; Taylor, P.; Van Ess-Dykema, C., "Dialog Act Modeling for Conversational Speech" in Applying Machine Learning to Discourse Processing. Papers from the 1998 AAAI Spring Symposium, Technical Report SS-98-01 (J. Chu-Carroll et al, eds.) Stanford CA pp. 98-105, AAAI Press, Menlo Park CA, 1998	
		Wrede, B.; Schriberg, E., "Spotting 'HotSpots' in Meetings: Human Judgments and Prosodic Cues" in Proc. Eurospeech, Geneva , 2003.	
		Levow, G., "Prosodic Cues to Discourse Segment Boundaries in Human-Computer Dialogue", in 5th SIGdial Workshop on Discourse and Dialogue Boston, April 30 and May 1, 2004.	
		Lascarides, A. and Oberlander, J., "Temporal Coherence and Defeasible Knowledge", Theoretical Linguistics, 19.1, pp. 1-35, Walter de Gruyter, Berlin, New York, 1993.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.